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PTO/SB/21 (08-00)

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing) 	Application Number	09/932,579 <b>2177</b>	
	Filing Date	Aug 17, 2001	
	First Named Inventor	Chen	
	Group Art Unit	NYA	
	Examiner Name	NYA	
Total Number of Pages in This Submission	4	Attorney Docket Number	103.1073.01

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## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert a Provisional Application <input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Statement Under 37 CFR 3.73(b) Return Postcard
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## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Steven A. Swernofsky	Reg. no. 33,040
Signature		
Date	11-2-01	

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APPLICATION**

Application Number	09/932,579
Filing Date	August 17, 2001
First Named Inventor	Raymond C. Chen
Group Art Unit	Not Assigned
Examiner Name	Not Assigned
Attorney Docket Number	103.1073.01

I hereby appoint:

☒ Practitioners at Customer Number

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Number  
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☒ Practitioner(s) named below:

Name	Registration Number
Gary E. Ross	29,431

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Certificate under 37 CFR 3.73(b) is enclosed

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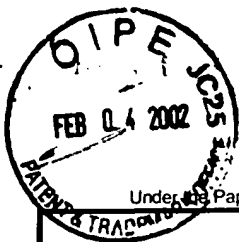
Name	Gary E. Ross, Chief Patent Counsel
Signature	<i>Gary E. Ross</i>
Date	10-19-01

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**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Raymond C. Chen

Application No./Patent No.: 09/932,579 Filed/Issue Date: August 17, 2000

Entitled: Manipulation of Zombie Files and Evil-Twin Files

Network Appliance, Inc., a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

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**[NOTE]:** A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the PTO. See MPEP 302-302.8]

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

10-19-01  
Date

Gary Ross  
Signature  
**Gary Ross**  
Typed or printed name  
**Chief Patent Counsel**  
Title

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